# ED GES

NEW PLANETARY PATTERNS



# **Focused Conversation**

Pressured by right, wrong and the bottom line, are people losing their ability to dialogue meaningfully? How can they recover it?

# **Focused Conversation**

"Once a society loses this capacity [to dialogue], all that is left is a cacophony of voices battling it out to see who wins and who loses. There is no capacity to go deeper, to find a deeper meaning that transcends individual views and self interest. It seems reasonable to ask whether many of our deeper problems in governing ourselves today, the so-called "gridlock" and loss of mutual respect and caring... might not stem from this lost capacity to talk with one another, to think together as part of a larger community."

Peter M. Senge, in "A New View of Institutional Leadership" in Reflections on Leadership

#### **BRIAN STANFIELD**

ne might think conversation is a relatively simple thing. And it is, since we do it all the time: at the dinner table, with fellow travellers in the bus or car, at the water fountain in the workplace. We exchange comments about the weather, about what we did on the weekend, the latest show we went to, how our favourite sports team is doing.

Some conversations don't last long:

"Hi."

"Hi."

"How are you today"

"Fine. Yourself?

"Fine. Great weather we're having, eh?

"Yes, beautiful."

That's a three-beat conversation. Actually, it's hardly a conversation at all, just passing the time of day, a kind of caring or checking on the life of the other.

Other longer conversations are more like a reporting or interchange system, where everyone gets a chance to say what they have been doing, what they are into. There is no attempt to orchestrate it

Some conversations are a bit more organized. One thinks of the philosophers in ancient Athens strolling through the Lyceum holding animated conversations about life. Or one thinks of the lit-

erate coffee-house conversations in Samuel Johnson's London, or the salon discussions in Madame de Stael's Paris.

Somewhere between the learned conversations of the gentry and the interchange between folk in the street is the guided conversation focused on a topic, sometimes referred to as the "artform conversation," or the surface-todepth conversation. For the genesis of this, one has to go back to Socrates and his use of conversation as a method for seeking deeper understanding...a way of seeking the rock-bottom truth in what was being discussed. 2500 years ago, Socrates taught Western civilization the art of asking questions as a tool for discovering reality. For Socrates, "the unexamined life was not worth living." To find meaning in life, one had to dig constantly, and to keep naming what one was finding. As we know, not everybody liked what Socrates was digging up, and, so, he was disposed of. Asking questions can be a dangerous business.

Now, in our time, the depth conversation in the Socratic mode is coming into its own again. As more organizations are shifting into management through participation and consensus-making, more managers are learning the Socratic way of processing information through asking questions, instead of making pro-

nouncements and giving orders. As an initiator of many conversations the boss is becoming a facilitator, a leader of real discussion. The art of orchestrating conversations is useful for consensus-making in small groups, for problem-solving, trouble-shooting, coaching, research, and interpretation of all kinds of data. What we constantly hear is that the more people practise using structured dialogue, the more opportunities they find for it: processing office flare-ups, reviewing the day, quarter, or year, for evaluations, for making group decisions, even for office celebrations. If it is true that solutions to problems reside in the collective intelligence of employees at all levels, then structured conversations are stellar problem solving tools. In any Learning Organization, they provide ways for teams and groups to reflect constantly on their experience and learn

The Focused Conversation Method developed by the Institute of Cultural Affairs (ICA) as part of its Technology of Participation (ToP<sup>TM</sup>) has helped people reflect on everything from poetry and movies, to the latest office blow-up, to how to build better widgets, tractors and hamburgers, and even how to give better health care. It is a relatively simple process that enables a conversation to

flow from surface to depth. A facilitator leads the conversation through a series of questions at four levels:

- Objective questions related to data, facts, external reality, for example "What did you actually see, hear or read?"
- Reflective questions that evoke immediate personal reactions, internal responses, sometimes emotions or feelings, hidden images, and associations with the facts, for example "What was your gut level reaction?" Whenever we encounter an external reality (objective data) we experience an internal response.
- Interpretive questions that draw out meaning, values, significance, implications, for example "What new insight did you get from this?"
- Decisional questions that bring the conversation to a close, eliciting resolution and enabling the group to make a decision about the future, for example "What do you think we should do?"

This elegant method has helped millions of people round the world. It has changed how people converse with each other. Instead of bawling out subordinates, managers have engaged them in a conversation which became a learning experience for both. The method has given people ways to share their common concerns and experiences in depth, rather than reacting with negative criticism. It has resolved conflicts between people, and dealt authentically with issues of value.

One wonders what would happen in a large Parliamentary committee if the members broke into groups of eight, each led by a skilled facilitator, in a surface-to-depth conversation on the proposed legislation. Imagine the questions:

- 1. What is in this bill?
- 2. What precisely does it propose?
- 3. What does it not propose?
- 4. What's your gut reaction to this bill?
- 5. What parts make you want to cheer?
- 6. What parts make you mad? Why?
- 7. What are the advantages of the bill?
- 8. What are its disadvantages?
- 9. How could we make it better?
- 10. What priority should this bill have? How important is it compared to other bills?
- 11. What do you hear as our recommendation on this bill?
- 12. What decision have we just made?

13. Is this what we will recommend?

Imagine the parliamentary cameras trying to zero in on dozens of these conversations going on at the same time. Quite a few other institutions would have to change to accommodate such a revolution in procedures.

We have all attended terrible public meetings or lectures where someone standing high in front pontificates to those below. After the talk, questions "from the floor" are entertained, and a few people line up behind a microphone while the rest wait silently, hoping to hear a valuable tidbit. Just imagine the impact, if, after the lecture participants broke up into groups of ten to discuss the presentation, with the help of questions like these:

- 1. What words or phrases do you remember from the presentation?
- 2. Where were you surprised?
- 3. What came through clearly?
- 4. Where did you disagree?
- 5. What new questions have emerged for you?
- 6. What is the underlying question we all seem to have?
- 7. What would it take to try to answer this question and act on it?

The high level of participation and action generated by such focused discussions have a dramatic impact on the participants of such conferences. Often they learn more from the discussion than they ever could from the speaker.

In the workplace we find many situations where this learning tool can be used. Here's an example.

#### Example 1.

A supervisor has become aware that an employee is not working effectively and constantly misses deadlines. In the past, the supervisor would call the staffer into an office and deliver a good bawling out. Suppose, instead, the supervisor designs a conversation to hold with the employee to bring home the seriousness of the situation, to hear the employee's perspective on what happened and to develop clear actions to be taken. What follows is a conversation actually used by a supervisor in such a case.

#### **Conversation Opening**

It worries me that deadlines have not been met so the quality of your work may have deteriorated. I want to understand what is happening so we can deal with it.

#### **Objective Questions**

What have you been working on recently?

What deadlines are you aware you have missed?

What happened from your point of view?

What can you tell me about what's been happening with your work?

#### Reflective Questions

How do you feel about the work you do?

What has been most difficult for you in your work?

Where have you experienced pressure or frustration?

What part might I have played in causing this situation?

#### Interpretive Questions

What would you say are the underlying issues behind these difficulties? How have you noticed that other people deal with these difficulties?

What practical means could we take to enable you to complete your work on time?

#### **Decisional Questions**

What can you and I do to make sure your job is done effectively?

What is the first action we need to take?

When can you and I meet again and check signals on these decisions?

#### Conclusion

This is helpful and I believe this will work. Thanks. If you start running into difficulty again, please come and see me and I'll see how I can help.

Note that the supervisor has made no statements; there are no accusations. The employee gets the opportunity to confess any lapses, and at the same time to express how he experiences his work. Next, he gets the opportunity to do his own self-analysis, and then decide what he needs to do to remedy the situation. However, at no stage is he let off the hook. Real accountability is going on here, emphasized by the follow-up meeting. In short, the reality of the situation has been honoured—even if the employee has erred, his dignity and creativity are used in finding a solution.

Here's a second example.

#### Example 2.

This conversation is between several members of a department deciding about work priorities. The situation is that they have just received several contracts all of which must be completed by the end of the month. They feel overwhelmed. An old, traditional solution would have been to give a big pep talk, play on their guilt, confront them with a carrot or stick, or blackmail them psychologicially to work harder. Instead of that approach, a focused converstion helps by drawing out the whole group's concerns and ideas for completing the contracts on time.

#### Opening

"We have quite a challenge before us in the next few weeks. Let's look at what it will take to successfully accomplish our assignment."

#### Objective questions

Let's review each contract. What are the main components of this contract? What products are being called for?

#### Reflective questions

What is relatively easy for us to deliver? What will be difficult?

What similar experiences do we have with creating these kind of products? What skills do we bring to this contract?

What skills or resources are we missing?

#### Interpretive questions

What are the main tasks involved in fulfilling this contract?

What people from our team need to work on this contract?

What skills or resources will we need to bring in from other teams or organizations?

What issues will need to be resolved?

#### **Decisional questions**

If we have three weeks to finish this contract, what are the main tasks of week 1?

What about week 2 and week 3? Who will work on each task?

#### Closing

Well, this is a good start. Let's meet back a week from today and see where we are.

This conversation helps the team acknowledge the challenge, see their advantages and vulnerabilities, and strategize how to complete the job on time. The Focused Conversation Method has enabled the team members to be their own best coaches.

#### Example 3.

A staff person is retiring after many years of service. Several people feel that "something should be done" to hold up

### Some Hints

### on Creating and Leading Focused Conversations

- 1. The leader has nothing to teach. There are no right answers hidden up a secret sleeve. Although we were brainwashed during school exams that right and wrong answers are important, they do not exist in a Focused Conversation. We ask questions to find out what actual answers might arise.
- 2. All questions are open-ended and cannot be answered with a simple "yes" or "no." Yes and no answers do not make for lively conversation, not do they actually tell you very much. For example "What did you like about it... or dislike?" is infinitely more interesting than "Did you like it?" Creating open-ended questions is more difficult than it seems. To think up open-ended questions and arrange them in the most helpful order usually requires a good deal of forethought.
- 3. In order to ask questions, you have to trust that the group has wisdom. The best conversations confront the group seriously with a topic, but do not reach a pat conclustion.
- 4. Specific questions get better results. For example: "What points did Jim make?" rather than "What do you remember about Jim's speech".
- 5. Ask for specific illustrations and examples when people answer. If someone makes a broad abstract statement, e.g. "Jim made vague observations about our sales trends."; ask for a concrete example, "What was one of them?"
- 6. To prepare a conversation, decide the intent; then brainstorm questions, and put them in the objective /reflective /interpretive /decisional order. It often helps to write questions on little post-its and then put them in order, or to create four columns of questions (o-r-i-d) and move questions around between them. When the draft is finished, rehearse it through in your head, imagining some answers you might get. This may suggest better ways to ask the questions.

her achievements and express the staff's appreciation. The staff decide to hold an event to celebrate the retiring Susan Cartier, and hold a conversation on her contribution to the organization. Two different conversations could be appropriate at the event: one is with Susan while the rest of the staff listens on; the second is with the whole staff while Susan listens. This example deals with the second.

#### Opening

It's a real pleasure to be gathered here in honour of Susan Cartier's retirement. We want to have a conversation about Susan and what she has meant to all of us. I'm asking these questions to everyone here. Anyone can answer. Please feel free to just join in. No need to put your hand up or anything like that.

#### Objective Questions

Who can remember when Susan first came? How long has she been around here?

When did any of us first run into Susan on the job?

Who has a quick story of an encounter with Susan?

#### **Reflective Questions**

What has always surprised you about Susan?

When you think of Susan, what do you associate with her?

What funny incidents do you remember?

What tasks do you remember her involved in?

What is something that Susan said to you that you will always remember?

#### **Interpretive Questions**

What has Susan's presence in the or-

ganization meant to us all?

How would we talk about Susan's contribution to the organization?

What difference has Susan made in your life?

What will we miss when she's not here any more?

#### **Decisional Questions**

What do we wish for Susan as she leaves us and goes into retirement? What promise would we claim for her future life?

#### Closing

Well, I think we have said from our hearts what Susan means to us, and how valuable she has been to this organization. Susan, we wish you all the best for your future. (Make any presentation that needs to be made)

While the traditional gold watch does express appreciation for Susan Cartier's contribution to the company, it does not highlight the uniqueness of the contribution, or the quality of her relationship with her colleagues. Susan Cartier will remember this conversation for the rest of her life. She may feel blessed and graced by the group's statements.

#### **Applications**

ICA Canada is in the process of gathering workplace conversations like these into a book, 100 Ways to Use the Focused Conversation Method in the Workplace. We plan to have it ready in fall 1997. Some topics are:

- · Coaching and Mentoring
- Managing
- Making Decisions
- Designing
- Preparing and Planning
- Problem Solving and Troubleshooting
- Evaluating
- Analysing Media and Data
- Reviewing
- Personal Use
- Birthdays and Retirements

We welcome any submissions on your use of the ToP<sup>TM</sup> Focused Conversation Method in the workplace; include both topics and questions. Please e-mail, fax, or mail to: ICA Canada, 579 Kingston Rd., Toronto, ON, Canada M4E 1R3. We greatly appreciate your participation.

Note: ICA Canada regularly offers a Group Facilitation course which includes how to create and lead these conversations. If you have not taken the course, refer to our 1997 Facilitation Skills Training Catalogue.

#### **BOOK REVIEW**

## International Facilitator's Companion

Imaginal Training, International Facilitator's Companion, DigiTAAL, 1997, Groningen, the Netherlands.

It seems that every new resource associated with Technologies of Participation (ToP™) breaks new ground. This makes sense, since ToP™ is an evolving body of knowledge that is refined and deepened as it is used. Real knowledge does not wear out; it gets better: *The International Facilitator's Companion* proves the rule. Trainers and facilitators will find this highly informative and useful. It is broad in its scope, reaches deeply into theory and provides highly practical examples and applications.

The current edition of the International Facilitator's Companion is presented as a boxed set of 14 paperbacks, with another booklet full of worksheets that can be photocopied. Each book has sections on theory, process, practical examples, appropriate uses, developing applications, worksheets, insightful tips and references.

Five manuals cover the basic methods that trainers and facilitators use when they are "up front and on stage." They contain some of the best descriptions of the discussion, workshop, lecture, and implementation methods that I have seen. They tie the theory and application together in a way that will enable ToP<sup>TM</sup> facilitators to bring deepening levels of consistency into their work.

Three of the manuals focus directly on creating practical and effective training curriculum—from assessing training needs right down to designing each training session.

Two of the manuals deal with creating and using ice breakers and role plays. They share techniques that can be adapted to make the facilitation and training environment safe for genuine dialogue and real learning,

The surprise, at least for me, came in seeing the two manuals which apply ToP<sup>TM</sup> to the case study methods originally designed for management and administration. Anyone interested in organizational learning will find real jewels here.

This is a master work, created by someone with long, deep and practical experience and a genuine gift for communication. Jon Jenkins, director of Imaginal Training, has worked around the world as a trainer and facilitator since the early 70s in a variety of settings that dazzle the imagination. With the acknowledged assistance of his wife and business partner, Maureen and other colleagues, Jon has reached very deeply into himself to create a resource that facilitators around the world will use until it is coffee-stained and dogeared-like other books we love. ICA Canada is proud to offer this resource to ToPTM facilitators.

This beautiful set of books is now available for \$249.75 plus GST, shipping and handling. Please allow 6 weeks for delivery. To order, please contact us at:

E-mail: icacan@web.net Phone: 416-691-2316 Fax: 416-691-2491�

-WAYNE NELSON

#### TIPS FOR FACILITATORS

# Style of the Facilitator

WAYNE NELSON

he facilitator's style is a key factor in establishing a participatory environment. Style, or course, goes far deeper than appearance, charisma, charm or grace. There are tangible values, practices and techniques involved in the kind of facilitation which calls forth participation, empowers the participants, and enables results. Three things characterize this style.

#### **MOVING FORWARD TOGETHER**

The art and science of facilitation helps groups to develop a common understanding and a common will. The facilitator aims to enable the individual and the group to discover their insights, reach consensus, and achieve their objectives.

The facilitator's attitude is critically important. If she assumes that the group can work together in a positive and creative way, she will find ways for that to happen. If she emphasizes difficulties this will amplify problems. Forming consensus and getting appropriate results go hand in hand. Taking a moment to clarify or resolve things will enable the whole group to move together. The task is to engage people in a genuine dialogue over their concerns.

Starting with a careful design for the whole event and keeping an eye on the whole process allows for choices which will lead the group to achieve what it wants. The facilitator needs to have a clear sense of where things are going so that appropriate questions can keep the discussion moving. The ToP<sup>TM</sup> method itself can be used to mediate disputes and clarify misunderstandings.

Dialogue begins with ideas. All the ideas need to get into the mix and the group needs to understand them. Judging and arguing are not helpful at this point. The facilitator elicits, evokes and generates input, arranging the group's data into clusters of similar ideas, revealing relationships. This is where the sap starts running. The style is a balance of high play and an earnest search for meaning. People name the patterns they see, and reality takes on a new cast. The group generates new knowledge, creates significance and a common understanding of their world. Together they create a new constellation of reality.

#### RESPECT, HONOUR AND AFFIRMATION

Respect for the participants is one of the keys to genuine dialogue. A facilitator believes in the inherent capacity of people to understand and respond creatively to their own situation, and assumes that everyone is a source of ideas, skills and wisdom. This belief enables the facilitator to receive all input as genuine contributions to the process.

Active listening to each person communicates respect. When the facilitator repeats a comment as it was stated, he honours that specific contribution to the process and the person who contributed it. Listening is an active giving of attention by looking at people as they speak, or taking notes, or noticing that some participants have not spoken for a while. Notes capture the ideas for later discussion. Nothing discourages participation more than a facilitator who disregards people or changes their thoughts when noting them down. Similarly the facilitator who tries to "push the process through," while paying no attention to the people, may honour the product, but not the individuals.

People who are heard and acknowledged often participate even more creatively. Comments, gestures, body language, and acts of understanding and encouragement communicate value. Affirmation must be clearly genuine; play acting will be seen for what it is. Facilitators know they have to honour the whole group and carefully avoid the appearance of favouritism. At the same time, a good facilitator enables a group to establish and respectfully maintain norms which do not allow individuals to dishonour the group with inappropriate comments and behaviour.

#### INTENTIONAL PRESENCE

To be an intentional presence, a facilitator needs to think through the questions of self-image. The facilitator does not have the style of a guru, dignitary or a super star. A better basic operating image is that of servant, in the sense of one who enables the group members to reveal their own wisdom to each other. To this end, everything a facilitator does builds toward the ultimate purpose of helping the individuals and the group to be at their best, so that together they take their best shot at the problem at hand.

To this end, facilitators can change style as needed, much as a chameleon changing colours. Up the facilitator's sleeve is a set of roles that can be used as the needs of the group indicate: sometimes the clown, sometimes the ringmaster, sometimes the sage, sometimes the poet.

Facilitators are always on stage. They dress appropriately for the group, avoiding clothing and jewelry styles that put the focus in the wrong place. Movement, posture and voice set the mood and pace. Sitting down sets a different mood than moving quickly with highly animated gestures. An enticing call to attention like a small bell draws the participants together in a different mood than the clapping of hands.

The facilitator mixes with participants to establish a genuine connection yet avoids disappearing into the group entirely. Being well prepared permits one to stay on top of personal anxieties and act "naturally" with the group. The habit of constant reflection on every event facilitated helps develop authentic style. ICA facilitators use the ToP<sup>TM</sup> Focused Conversation Method for reflection. The facilitator builds an intentional style on the foundation of the deepest messages to be communicated in an event.

The classic Indian mythological epic, Mahabarata, depicts a war among the gods: primal life energies assume the form of gods, goddesses, people and animals. The real world of the late 20th century is not nearly so clear. These forces do not wear tee shirts with emblems like "good" and "evil" emblazoned on them as if they belonged to cosmic hockey teams. They show up in a workshop group as a multiplicity of subtle energies that interact in a dance that can range from deeply harmonious to shockingly discordant. In the mythological sense of the Mahabarata, the facilitator orchestrates more than bits of data; he coordinates "cosmic energies." The style of the facilitator is like that of a symphony conductor enabling all the instruments to play their own part in synch with a very large and complex score that is being written while it is being played. Facilitating both the composition and the performance is a rewarding experience and no small undertaking.



#### ІАР3

# International Association for Public Participation

The IAP3 1997 International Conference Toronto, Canada September 6-10, 1997

#### "PARTICIPATION IN TURBULENT TIMES"

Conference Update

IAP3 was established in 1990 as an international, non-profit corporation to advance the practice of public participation. The organization is devoted to the participation of the public in decisions being made in and by communities, government and industry.

The 1997 Toronto Conference Committee has been busy preparing an exciting program for you. The conference will be built around three streams: Access to Participation; the Process of Participation; and the Impact of Participation.

Sessions will be an exploration of participation from a cross-cultural, grass-roots, local, provincial, national and international perspective. Stimulate some debate by delving into new technologies and traditional ways of the past! We will add to the excitement by bringing together a broad spectrum of players in the participation field.

For more information contact Karla Kolli at (416) 229-4646. We look forward to hearing from you!

#### Don't miss the action. When you get your 1997 calendar...Mark us in!

With the training of an education in architecture,

With the wisdom of over 20 years' experience with the ICA on 3 continents,

With the acquired skill of 30 years experience in the construction, project management and architectural profession,

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#### 1997 Rites of Passage Journey

An Initiation from Childhood to Adolescence for 11-13 year olds

July 5 - July 26, 1997

Rites of Passage is being offered in cooperation with ICA Canada. Participants will spend time on a ropes course, canoe in Killarney, hike to the top of Silver Peak, rock climb on the Niagara Escarpment, live in a Native community, and participate in a sweat lodge and solo vigil. Children will make the important transition to adolescence as they experience their own "Hero's Journey."

Making Connections: August 9 - August 23, 1997

adventureworks! is also offering Making Connections: A Leadership Development Program for 14-18 year olds. Students will take part in a number of outdoor pursuits while exploring what it means to offer leadership in our changing world.

For more information about these exciting programs, please contact our office.



#### adventureworks!

991 King St. West, P.O. Box 89102, Hamilton, Ontario, L8R 4R5 phone (905) 522-0542 fax (905) 522-8621 e-mail: blisson@netaccess.on.ca

# For ICA members

#### Associate Facilitator Program

The ICA Associate Facilitator Program is an expanding group, now with 15 members. Some people will graduate this summer as accredited ICA Facilitators after two years of practice and mentoring. We are working toward a clear set of facilitator competencies and performance indicators.

Following clear guidelines, the program is tailored to meet your personal needs. It can be as complex or as simple as you need to help you in your work. This program is worthy of your serious consideration. Please call for further information.

#### **ICA Annual General Meeting**

ICA Canada's AGM will be held on April 26. All members are invited. It promises to be a great day of creative thinking about the future. Under the canopy of ICA's Social Research Project, participants will be engaged in a major scenario-building workshop on Canada's future. After lunch, members can participate in the 30-minute Board meeting. Following that, there will

be a presentation on future directions of ICA that Board and staff have been working on since November of last year. Then on the same topic, a workshop will give members an opportunity to build scenarios on the future of ICA Canada. The AGM will be held at the ICA office, 579 Kingston Rd, Toronto, near the corner of Main and Kingston Rd. ❖

#### **Facilitators' Conference**

We welcome your input on the 1997 ToP™ Facilitators Conference, 18-19 October, 1997 in Toronto. This will be the third international conference in this series. Participants see this conference as a place to share their breakthroughs in participation, to sharpen their methodology, and to expand their understanding of how

facilitation works for them.

This year, again, we ask for your input. What should we focus on? We are giving serious thought to focusing the whole conference on the relationship of spirit and facilitation. What do you think? How could this help you in your work and growth? Please let us know.

#### **Discuss Facilitation by e-mail**

For over a year, people interested in facilitation have been exchanging news, ideas and information using electronic mail. Members of ICA Canada can join by contacting Niky Melichar at McMaster University.

Contact Niky by:

E-mail: melich@fhs.mcmaster.ca

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#### **Other On-line Facilitator Home Pages**

ICA Canada: www.web.net/~icacan

IAF: hsb.baylor.edu/fuller/iaf/

Facilitator Central: nsb.baylor.edu/fuller/fac/

ICA International: www.icaworld.org/ Newsgroup: misc.business.facilitators

(IAF stands for The International Association of Facilitators). �



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**Statement of Purpose** The mission of *Edges* is to help people facilitate a culture of participation.

A wave of change sweeping through societies has shaken the foundations of civilization. Every facet of existence is being challenged to evolve into new planetary patterns of knowing, doing and being. The Institute of Cultural Affairs weaves new relations between individuals, organizations and communities. At the core of ICA's work is spirit, sustained by the myths and techniques of many cultures, helping to shape social transformation. ICA's research, education, organizational change, and international linking programs work together to elicit new planetary patterns.

The opinions in *Edges* articles do not necessarily represent the policies or views of ICA Canada.

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